

# Complete Intersection Dimensions and Foxby Classes

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# Ascent and descent of the Gorenstein property

Throughout this talk,  $\varphi: R \rightarrow S$  and  $\sigma: S \rightarrow T$  are local ring homomorphisms:  $(R, \mathfrak{m})$  and  $(S, \mathfrak{n})$  and  $(T, \mathfrak{r})$  are local rings and  $\varphi(\mathfrak{m}) \subseteq \mathfrak{n}$  and  $\sigma(\mathfrak{n}) \subseteq \mathfrak{r}$ .

**Theorem.** Assume that  $\varphi$  is flat and set  $\overline{S} = S/\mathfrak{m}S$ .

The ring  $S$  is Gorenstein if and only if  $R$  and  $\overline{S}$  are Gorenstein. Moreover, there is an equality of Bass series  $I_S^S(t) = I_R^R(t)I_{\overline{S}}^{\overline{S}}(t)$ .

What restrictions on  $\varphi$  are really needed for such a result?

**Definition.** A finitely generated  $R$ -module  $G$  is *totally reflexive* if

- (1)  $\text{Ext}_R^{\geq 1}(G, R) = 0 = \text{Ext}_R^{\geq 1}(\text{Hom}_R(G, R), R)$ , and
- (2)  $G \xrightarrow{\cong} \text{Hom}_R(\text{Hom}_R(G, R), R)$ .

The  $G$ -dimension of a finitely generated  $R$ -module  $M$  is

$$G\text{-dim}_R(M) = \inf \left\{ n \geq 0 \mid \exists 0 \rightarrow G_n \rightarrow \cdots \rightarrow G_0 \rightarrow M \rightarrow 0 \right. \\ \left. \text{exact, each } G_i \text{ totally reflexive} \right\}$$

# Ring homomorphisms of finite G-dimension

**Definition.** The *semi-completion* of  $\varphi$ , denoted  $\hat{\varphi}$ , is the composition  $R \xrightarrow{\varphi} S \rightarrow \hat{S}$ .

A *Cohen factorization* of  $\hat{\varphi}$  is a diagram of local ring homomorphisms  $R \xrightarrow{\hat{\varphi}} R' \xrightarrow{\varphi'} \hat{S}$ , where  $\hat{\varphi} = \varphi' \hat{\varphi}$ , with  $\hat{\varphi}$  flat, the closed fibre  $R'/\mathfrak{m}R'$  regular,  $R'$  complete, and  $\varphi'$  surjective.

Write  $G\text{-dim}(\varphi) < \infty$  if  $G\text{-dim}_{R'}(\hat{S}) < \infty$  for some (equivalently, for every) Cohen factorization  $R \rightarrow R' \rightarrow \hat{S}$  of  $\hat{\varphi}$ .

**Theorem.** (Avramov-Foxby, 1997) Assume  $G\text{-dim}(\varphi) < \infty$ .

There is a formal Laurent series  $I_\varphi(t)$  with nonnegative integer coefficients such that  $I_{\hat{S}}(t) = I_R^R(t)I_\varphi(t)$ .

The ring  $S$  is Gorenstein if and only if  $R$  is Gorenstein and  $I_\varphi(t)$  is a monomial.

# Composition of homomorphisms of finite G-dimension

**Question.** If  $G\text{-dim}(\varphi), G\text{-dim}(\sigma) < \infty$ , then  $G\text{-dim}(\sigma\varphi) < \infty$ ?  
(Avramov-Foxby) If  $\text{fd}(\sigma) < \infty$ , then yes.

**Theorem.** (SSW, 2007) *If  $G\text{-dim}(\varphi) < \infty$  and  $\text{CI-dim}(\sigma) < \infty$ , then  $G\text{-dim}(\sigma\varphi) < \infty$ .*

**Definition.** A *quasi-deformation* of  $R$  is a diagram of local ring homomorphisms  $R \xrightarrow{\varphi} R' \xleftarrow{\tau} Q$  such that  $\varphi$  is flat, and  $\tau$  is surjective with kernel generated by a  $Q$ -regular sequence.

The *complete intersection dimension* of a finitely generated  $R$ -modules  $M$  is

$$\text{CI-dim}_R(M) := \inf \left\{ \begin{array}{l} \text{pd}_Q(R' \otimes_R M) \\ - \text{pd}_Q(R') \end{array} \middle| \begin{array}{l} R \rightarrow R' \leftarrow Q \text{ is a} \\ \text{quasi-deformation} \end{array} \right\}$$

and  $\text{CI-dim}(\varphi) < \infty$  if  $\text{CI-dim}_{R'}(\widehat{S}) < \infty$  for some Cohen factorization  $R \rightarrow R' \rightarrow \widehat{S}$  of  $\varphi$ .

**Question.** Is this independent of the Cohen factorization?

**Definition.** A finitely generated  $R$ -module  $C$  is *semidualizing* if  $\text{Ext}_R^{\geq 1}(C, C) = 0$  and  $R \xrightarrow{\cong} \text{Hom}_R(C, C)$ .

**Example.**  $R^1$  is a semidualizing  $R$ -module.

$D$  is a dualizing  $R$ -module if and only if  $D$  is semidualizing and  $\text{id}_R(D) < \infty$ .

Assume (1)  $\text{G-dim}(\varphi) < \infty$  and  $S$  is Cohen-Macaulay, or (2)  $\varphi$  is flat and  $S/\mathfrak{m}S$  is Cohen-Macaulay. Then a relative dualizing module  $D^\varphi$  is a semidualizing  $\widehat{S}$ -module and  $l_\varphi(t) = P_{D^\varphi}^{\widehat{S}}(t)$ .

**Definition.** Let  $C$  be a semidualizing  $R$ -module. An  $R$ -module  $M$  is in the *Auslander class*  $A_C(R)$  if  $M \xrightarrow{\cong} \text{Hom}_R(C, C \otimes_R M)$  and  $\text{Tor}_{\geq 1}^R(C, M) = 0 = \text{Ext}_R^{\geq 1}(C, C \otimes_R M)$ .

**Example.** If  $\text{fd}_R(M) < \infty$ , then  $M \in A_C(R)$ .

If  $R$  is Cohen-Macaulay with a dualizing module  $D$ , then  $\text{G-dim}_R(M) < \infty$  if and only if  $M \in A_D(R)$ .

**Definition.** A homologically finite  $R$ -complex  $C$  is *semidualizing* if  $R \xrightarrow{\cong} \mathbf{R}\mathrm{Hom}_R(C, C)$ .

**Example.** Each semidualizing  $R$ -module is a semidualizing  $R$ -complex concentrated in degree 0.

$D$  is a dualizing  $R$ -complex if and only if  $D$  is semidualizing and  $\mathrm{id}_R(D) < \infty$ .

If  $G\text{-dim}(\varphi) < \infty$ , then a relative dualizing complex  $D^\varphi$  is a semidualizing  $\widehat{S}$ -complex and  $I_\varphi(t) = P_{D^\varphi}^{\widehat{S}}(t)$ .

**Definition.** The *Auslander class* with respect to  $C$  is the full subcategory  $A_C(R) \subseteq D_b(R)$  consisting of the complexes  $M$  such that  $C \otimes_R^L M \in D_b(R)$  and  $M \xrightarrow{\cong} \mathbf{R}\mathrm{Hom}_R(C, C \otimes_R^L M)$ .

**Example.** If  $\mathrm{fd}_R(M) < \infty$ , then  $M \in A_C(R)$ .

If  $R$  has a dualizing complex  $D$ , then  $G\text{-dim}_R(M) < \infty$  if and only if  $M \in A_D(R)$ .

**Theorem.** (SSW, 2007) *If  $\text{CI-dim}_R(M) < \infty$ , then  $M \in A_C(R)$  for each semidualizing  $R$ -complex  $C$ .*

*Proof.* As  $\text{CI-dim}_R(M) < \infty$ , there exists a quasi-deformation  $R \xrightarrow{\varphi} R' \xleftarrow{\tau} Q$  such that  $Q$  is complete and  $\text{pd}_Q(R' \otimes_R M) < \infty$ .

$\varphi$  is flat, so  $R' \otimes_R C$  is a semidualizing  $R'$ -complex.

$Q$  is complete, and  $\tau$  is surjective with kernel generated by a  $Q$ -sequence. So  $\text{Ext}_{R'}^{\geq 1}(R' \otimes_R C, R' \otimes_R C) = 0$  implies that there is a semidualizing  $Q$ -complex  $B$  such that  $R' \otimes_R C \simeq R' \otimes_Q^L B$ .

$\text{pd}_Q(R' \otimes_R M) < \infty$  implies  $R' \otimes_R M \in A_B(Q)$ .

This implies  $R' \otimes_R M \in A_{R' \otimes_Q^L B}(R') = A_{R' \otimes_R C}(R')$ .

This implies  $M \in A_C(R)$ . □

# Structure of quasi-deformations

If  $\text{CI-dim}_R(M) < \infty$ , then there exists a quasi-deformation  $R \rightarrow R' \leftarrow Q$  such that  $\text{pd}_Q(R' \otimes_R M) < \infty$ .

One may assume that  $R'/\mathfrak{m}R'$  is artinian (so, Cohen-Macaulay) and that  $Q$  is complete.

**Theorem.**(SSW, 2007) *If  $\text{CI-dim}_R(M) < \infty$ , then there exists a quasi-deformation  $R \rightarrow R'' \leftarrow Q'$  such that  $Q'$  is complete,  $R''/\mathfrak{m}R''$  is artinian and Gorenstein, and  $\text{pd}_{Q'}(R'' \otimes_R M) < \infty$ .*

*Proof.* There is a quasi-deformation  $R \xrightarrow{\varphi} R' \xleftarrow{\tau} Q$  where  $Q$  is complete,  $R'/\mathfrak{m}R'$  is Cohen-Macaulay, and  $\text{pd}_Q(R' \otimes_R M) < \infty$ .

$R'$  is complete and  $\varphi$  is flat with Cohen-Macaulay closed fibre, so  $\varphi$  has a relative dualizing module  $D^\varphi$ .

$Q$  is complete and  $\tau$  is surjective with kernel generated by a  $Q$ -sequence, so there is a semidualizing  $Q$ -module  $B$  such that  $R' \otimes_Q^L B \simeq D^\varphi$ .

Use the trivial extensions  $R'' = R' \times B$  and  $Q' = Q \times B$ . □